

## **Cooperative Agreements for Implementation of the National Animal Identification System (NAIS)**

**United States Department of Agriculture (USDA)  
Animal and Plant Health Inspection Service (APHIS)  
Veterinary Services (VS)**

**Announcement Type:** Initial Announcement

**Catalog of Federal Domestic Assistance Number:** 10.025, Plant and Animal Disease, Pest Control, and Animal Care

**Dates:** Applications must be received by July 25, 2006

**Funding Opportunity Description:** USDA initiated implementation of the NAIS in 2004. The Department's first priority with the initial funding in FY2004 was to have the components of the national premises registration system in place in every State by July 2005. With this accomplished, the next step was to use FY2005 funds to increase the number of registered eligible premises in each State. As of April, 2006, over 245,000 premises have been registered nationwide, or approximately twelve percent of the estimated number that are eligible.

Funding for FY2006 will be provided to State and Native American Tribal governments to support the continued implementation and maintenance of the national premises identification system and NAIS within their respective areas. We would like to achieve a national target for premises registration of fifteen percent by July, 2006, twenty five percent by January, 2007, and thirty five percent by July, 2007. Since the majority of premises will be registered in a voluntary system, it is critical that we provide all individuals, business, and organizations a correct and consistent message about how they will benefit from NAIS and their responsibility to participate. Misinformation and adverse publicity has been somewhat detrimental to the adoption of NAIS in many areas of the country. USDA is working with States, organizations, and other stakeholders to develop appropriate educational and outreach materials. Applications for cooperative agreement funding must include an aggressive plan for education and outreach, including effective use of existing outreach resources such as cooperative extension, state AVIC offices, and state industry organizations, to stakeholders at all levels within the state or tribe.

This funding opportunity does NOT include funds for conducting pilot projects to develop solutions for animal identification and/or collecting animal movement data. Similarly, funds are not to be used for the purchase of animal identification devices, animal movement data recording hardware or software, or the development of animal tracking databases. Animal movement tracking will be developed, implemented, and funded within the private and/or State/Tribal sector.

**Total Amount to be Awarded:** \$10,882,000

**Plans to be Awarded:** Approximately 111 Cooperative Agreements

**Eligibility:** State Governments  
Federally recognized Native American Tribal governments

**Cost Sharing:** Cost sharing is required.

**Application and Submission:** A State or Tribal government may submit only one application and/or be a third party in an application submitted by another State or Tribal government.

Applicants can apply through the Grants.gov Web site or submit paper applications to the address at the end of this announcement.

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## **I. Funding Opportunity Description**

### ***A. Background***

The increased media exposure of animal disease outbreaks around the world over the past decade has intensified the public interest in developing a national animal identification program for safeguarding animal health. Some populations of certain species have been required to be identified as part of program disease eradication activities over the past several years. In addition, some significant regional voluntary and mandatory identification programs are in place. However, there is currently no mandatory, uniform national animal identification system in the United States for all animals of a given species.

Fundamental to controlling any disease threat to the Nation's animal resources is to have a system that can identify the following: individual animals or groups of animals, the premises where they are located, and the date of entry to each premises. Further, in order to achieve optimal success in controlling or eradicating an animal health threat, the timely retrieval of this information and implementation of intervention strategies after confirmation of a disease outbreak is necessary.

Therefore, USDA initiated implementation of the NAIS in 2004. In 2004, funds were provided on a competitive basis to State and Tribal governments to implement the national premises registration system component of the NAIS, and to conduct field trials or research into certain areas of interest in the proposed system.

The implementation of the NAIS continued to progress in 2005 when funds were dispersed to states to enable them to implement the essential components of the national premises registration system by July 2005. This important milestone was achieved by the target date and allowed producers across the entire country to begin their participation in the NAIS by registering livestock premises in their respective states.

Funding for 2006 will focus on increasing the number of registered premises and initiating use of the Animal Identification Number (AIN) allocation system. Since only producers with premises numbers will be eligible to use official AIN identification devices, we expect an increase in premises registration in order to obtain the devices. Significant emphasis will be placed on providing sufficient education and outreach to make sure all producers and stakeholders in the states receive correct information.

### ***B. USDA Approach***

We must ensure the participation requirements of the NAIS not only provide the results necessary to maintain the health of the national herd, but also that the program is practical for producers and all others involved in production. Therefore, full implementation of the NAIS will be a phased-in plan.

At this time, participation in all phases of the NAIS is voluntary. Stakeholders have the opportunity to obtain experience with the system and provide feedback as successful and practical solutions evolve.

The first priority is to identify locations that hold and manage livestock with the nationally unique, 7-character Premises Identification Number. States and Tribes administer premises registration.

Once producers have registered their premises, they may obtain official identification devices that are encoded or imprinted with an AIN. [The AIN provides a unique lifetime number for each animal identified as an individual. Producers with species identified as groups or lots may use their premises number to establish the official Group/Lot Identification of their animals.] As producers acquire AIN devices, a NAIS record will be created linking the tags to the receiving premises. In this way, animal health officials will have the information needed during a disease traceback to determine the origin of an animal or where it was first tagged.

In phasing in the NAIS, recording which animals are removed from the population will be a priority as information is collected at abattoirs. Collecting interstate movements will continue to be another priority. USDA will implement the electronic interstate certificate of veterinary inspection and electronic movement permit systems. As more animals are identified, the systems necessary to record animal health identification data through other concentration points will be tested and implemented.

Collecting and recording animal movements is the greatest challenge ahead. USDA supported field trials with 2004 and 2005 funds to investigate methods and technologies associated with animal identification. We look forward to analyzing the data from these projects as they are finalized. Beginning this year, the development of animal tracking systems and databases, the purchase, installation, and maintenance of recording infrastructure including the retrofitting of facilities, the purchase of animal identification devices or methods, and the labor to do any of the above WILL NOT be funded in any way through NAIS cooperative agreements. All animal movement tracking system development will be accomplished in the private sector.

#### **April 2006 NAIS Implementation Plan**

Participation by producers and affected industry segments is necessary for NAIS to be successful in supporting the animal disease management programs. Market demands (age, source and process verification, traceability, etc.) are becoming of greater importance for certain species/industries and could become a primary “driver” for achieving a successful level of participation in the NAIS. Allowing market forces and industry needs to drive producer participation in the NAIS is preferable to mandatory Federal regulations.

#### **Benchmarks for Progress:**

January 2007:	25% of premises registered
January 2008:	70% of premises registered 40% of animals identified
January 2009:	100% of premises registered 100% of “new” animals identified 60% of animals <1 year-of-age have complete movement data in the NAIS Animal Tracking Database (ATD) system

These benchmarks are participation levels APHIS believes are necessary for the industry/State/Federal partnership to successfully achieve the goals and objectives of NAIS.

As more producers participate in the system, and as the number of animals registered in the system increases, our ability to track animals will increase. The system will require a high degree of producer participation in order to achieve its goal of 48-hour traceability.

The animal movement information maintained by industry and States/Tribes will provide traceback and trace forward information for animal health officials.

Measurable benchmarks of participation rates for key program activities are defined with timelines necessary to reach full participation by the target dates. Participation levels will be evaluated and studies will be conducted to determine factors affecting participation.

- Contingency Plan

This NAIS implementation strategy provides the opportunity for the stakeholders to take a proactive approach to achieve full industry participation in the NAIS. If the marketplace, along with State, Tribal, and Federal identification programs, does not provide adequate incentives for achieving complete participation, USDA may be required to implement regulations. USDA will evaluate whether participation levels are increasing at rates that will achieve full participation by 2009. Based on that analysis, USDA will determine if the market-driven incentives, along with industry “buy-in” for improved animal disease programs, are resulting in adequate participation and growth rates for NAIS to be successful by the established target dates. If participation rates are not adequate, the development of regulations through normal rulemaking procedures to require participation in certain aspects of the program will be considered. The public would have the opportunity to comment on any proposed regulations.

Continuing to provide some funding through cooperative agreements to States and Tribes to maintain capacity to interface with the national premises number allocator and data resources and the capacity to register premises is envisioned. Funds may be used for staff resources needed to support the administration of the premises identification systems. Cooperators who have already achieved registration of a significant portion of eligible premises may also request funding for administration of animal identification numbers in the system.

A major component of implementing a national system will be educating the livestock industry on system operation and their responsibilities within the system. Funding for FY2006 will be provided to State and Native American Tribal governments to support the continued implementation and maintenance of the national premises identification system and NAIS within their respective areas. Achieving a national target for premises registration of fifteen percent by July, 2006, twenty five percent by January, 2007, and thirty five percent by July, 2007 is desirable. Since the majority of premises will be registered in a voluntary system, it is critical that we provide all individuals, business, and organizations a correct and consistent message about how they will benefit from NAIS and their responsibility to participate. Misinformation and adverse publicity has been somewhat detrimental to the adoption of NAIS in many areas of the country. USDA continues to work with States, organizations, and other stakeholders to develop appropriate educational and outreach materials. Applications for cooperative agreement funding must include an aggressive plan for education and outreach to stakeholders at all levels within the State or Tribe. The plan must include documentation of a functional NAIS coordination group/committee/organization, including established outreach resources in the State such as cooperative extension, State AVIC office, and industry organization representatives, that is representative of the stakeholders within the State which meets regularly according to the needs of the State.

Appropriated funds will be used to fund NAIS implementation cooperative agreements in the 2006 fiscal year. It is recognized that current funds may not be sufficient to fully support the financial requirements of implementing NAIS in each State or Tribal entity; therefore, cost sharing is required.

### ***C. Project Criteria***

The cooperative agreements will support the continued implementation and maintenance of the national premises system within the area the projects are administered. Funds should support premises identification and the allocation of AINs to premises.

The USDA has established the primary data standards for the NAIS. (See NAIS Draft Program Standards posted at [www.usda.gov/nais](http://www.usda.gov/nais)) The integration of these data standards in information systems at the State level and with industry identification programs/services is both critical and a requirement for these cooperative agreements. USDA will support projects, to the extent possible, that:

- Include a plan for continuing the identification of premises in the State(s), reservations, etc., in which the project is based;
- Utilize systems that integrate with and establish the necessary data interfaces with the premises allocator, national premises information repository, and AIN Management System; and
- Contain an outreach program for stakeholders to learn more about the identification system and offer a plan to share experiences and feedback from stakeholders with USDA.

Additionally, projects should:

- Have contributing funds, cost-shared by the applicant and/or third party in-kind contributions; and
- Involve premises and animal identification of multiple species and in multiple sectors of the industry.

Projects may include:

- An option that provides for the successful integration of premises data from existing databases

Projects must focus on implementation of premises and animal identification methods according to the standards defined in the NAIS Draft Program Standards. Cooperative Agreement funds may be used to advance the integration of data collection systems that have already been developed and beta tested, but that need greater user exposure and evaluation in broad-field application. This funding opportunity does NOT include funds for conducting research to develop or test potential solutions for animal identification and animal movement data collection.

USDA WILL NOT provide funding for:

- Development of software/system applications/computer programs, including premises registration and animal identification/tracking

- Hardware for state or privately based compliant systems including servers, data storage,
- Maintenance and operational costs of such compliant systems.
- Cleansing of the data (for example, fixing duplicate records) to meet the requirements of premises registration system.
- The interfacing of internal systems. For example, if a state needs to interface their Compliant Premises Registration System (CPRS) with a permitting system, such interface is not supported (pulling records from the CPRS or State Premises Registration System (SPRS)) to support other State systems. Similarly, the interfaces of other systems (two systems that are independent of the CPRS) are not supported.

USDA WILL provide funding for:

- Establishing the interface of a CPRS with the premises allocator and national premises repository. The NAIS IT Team in Ft Collins will provide the technical specifications and communication protocols that are necessary for this interface, but will provide no programming for a private or State system. The normal time requirement for the coding/developing both interfaces is 3 days (24 hrs) and typically in the neighborhood of \$2400.
- The Integration of existing State systems with the SPRS or a CPRS. This “pulling” of data from existing databases that already contain premises related information seems to be a prudent and cost effective method in many cases. States must carefully consider whether this type of data integration to register livestock premises under NAIS would be interpreted as “voluntary” and if this would create any problems for premises registration in the long term.
- Consideration will be given for the development of systems to automate and/or support the collection of data needed for premises registration. Such systems must be developed to allow their integration with all CPRS and SPRS. Under the terms of the cooperative agreements, USDA has the right to use the products developed with the funds provided and to let others use them as well. For this reason, the development of products that are State specific or proprietary in nature are outside the scope of funding.
- Outreach and education including hiring of outside contractors, and demonstration or display items.
- Transportation costs related to NAIS implementation including attendance at national NAIS training and coordination meetings
- Equipment necessary for the implementation of NAIS including, but not limited to, such things as laptop computers, wireless aircards, high speed internet connection for sites where NAIS administrators register premises online a large portion of the time (not private residences), GPS devices, and off-the-shelf mapping software such as Street Atlas USA.

## **II. Award Information**

**A. Total Available Funds:** \$10,882,000

**B. Anticipated Number of Awards:** 111

**C. Expected Amounts of Individual Awards:** Approx. \$202,740/State; \$12,213/Tribe



**D. Average funding per award in 2004:** \$302,834

**E. Anticipated Start Date:** July, 2006

**F. Period of Performance:** To be completed by December 31, 2006

**G. Renewal/Supplemental:** Applicants who received funding in 2005 to implement the NAIS may apply for funding to continue implementation in 2006. Applicants who received funding in 2004 or 2005 to conduct field trials/research associated with NAIS are not eligible to apply to continue funding for those projects under this announcement.

**H. Assistance Instrument:** Cooperative Agreement

### **III. APHIS Role**

APHIS Veterinary Services will administer the Cooperative Agreements and will provide advice to the project administrator, track funding, receive and review progress reports, offer comments and suggestions, and will track project performance.

APHIS personnel will meet with cooperators as often as necessary to ensure progress in accomplishing the goals of the project, identifying obstacles, and resolving concerns.

APHIS will provide the systems for allocating premises and animal identification numbers, the national premises repositories, the standardized premises registration system, and animal identification number management system.

APHIS will work closely with cooperators to develop the necessary interfaces with the national premises allocator and the national animal identification database.

APHIS will provide extensive information regarding NAIS on their Web site and will provide materials to support the outreach efforts of the States and Tribes.

### **IV. Eligibility Information**

#### ***A. Eligible Applicants:***

The State Animal Health Authorities and Native American Tribal governments may submit an application. In the event multiple States or Tribes work cooperatively as one project, one person from either a State or Tribe involved in the project must be responsible for the application for and administration of the agreement. This person will be referred to as the Project Administrator and will be the primary contact for the USDA. This person will also be the duly authorized representative who will sign the cooperative agreement application. The Project Administrator must submit an application package and the workplan in the format described in section IV.B.

Project Administrators whose projects are considered for funding on an individual basis will be required to complete the application package and workplan for the official award of the cooperative agreement.

Please note funding restrictions in section IV.E. Applications involving a request for funds for a use that is restricted will be returned to the cooperator and will not be eligible for award. The cooperator must resubmit an application which does not involve a request for funds for restricted use in order to be considered eligible for award.

***B. Cost Sharing or Matching***

USDA requires that projects demonstrate 20% contributing funds, cost-shared by the applicant or in-kind contributions, including third-party in-kind contributions.

***C. Other Eligibility Criteria***

An Applicant may submit only one application and/or be a subrecipient or subcontractor in an application submitted by another State or Tribal government.

**V. Application and Submission Information**

***A. Address to Request Application Package***

(V.B., “Content and Form of Application Submission” contains the project workplan which outlines the format applicants need to use when applying. Paper copies of the other required application forms [SF-424, Application for Federal Assistance; SF-424A, Budget Information – Non Construction Programs; SF-424B, Assurances – Non-Construction Programs; SF-LLL Disclosure of Lobbying Activities (required for Federal Assistance greater than \$100,000); and Certification Regarding Lobbying can be requested from the following address:

USDA, APHIS, MRPBS, Agreements Services Center  
Eileen Berke  
4700 River Road, Unit 55, Station 6B78  
Riverdale, MD 20737

They can also be retrieved from the APHIS website at the following address:

<http://www.aphis.usda.gov/mrpbs/forms/grantforms.html>

If an applicant chooses to apply through Grants.gov, the SF-424, SF-424A and B, and SF-LLL (required for Federal Assistance greater than \$100,000), can be filled out and submitted online. The work plan format described in IV.B, “Content and Form of Application Submission” should then be submitted as an attachment.

**B. Content and Form of Application Submission**

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**National Animal Identification System (NAIS)  
Implementation**

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0579-0259. The time required to complete this information collection is estimated to average 20 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

**WORK PLAN FORMAT**

**Cover Page**

Plan Administrator:

Name of State or Tribal Government:

Address:

City, State, Zip:

Office Phone:

Cell Phone:

Fax:

Email address (Plan Administrator):

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List additional State departments, Tribes, etc. that have confirmed participating in the plan (complete name, address, etc.)

These funds may only be used for the implementation and administration of premises registration in accordance with the NAIS and support of outreach efforts pertaining to all activities that promote the NAIS implementation plan for achieving full participation by 2009. Special field trials and research projects which attempt to address problems or questions about NAIS implementation must be funded through a separate application to the announcement specific for that funding.

**Signature of Plan Administrator:** \_\_\_\_\_

**Date:** \_\_\_\_\_

*Please complete each section explained on the following pages and maintain the format on your application. Section V, "Application Review Information" provides more details on the information requested and how it will be used to evaluate applications for funding.*

## **Objectives and Need for the Assistance**

This section should include a narrative on how the financial assistance will facilitate the cooperator in carrying out the implementation of the NAIS. Clearly and thoroughly explain the objectives and purpose of the plan. Explain why this work is critical to the successful implementation of the NAIS in your area.

## **Results or Benefits Expected**

Identify the results to be accomplished through assistance.

- What do you hope to achieve through this work?
- How will the results of this work be of merit to the overall implementation of NAIS?
- Explain how the applicant and industry will benefit from the work.

## **Approach**

This section should discuss the overall plan of action and outline the roles and responsibilities that are mutual, those of the cooperator, and those of APHIS in terms of work to be performed, expected accomplishments by each party, and resources to be contributed by each.

## **Outline a Plan of Action**

Provide a brief overview of the work to be performed and how the plan builds upon the 2005 cooperative agreement plan. Also, explain how this plan will support the timelines for full implementation of NAIS as outlined in the draft strategic plan.

## **Detail work to be accomplished.**

Indicate what components of the NAIS will be addressed within this plan and explain how the components will be implemented through the plan.

### **Premises Identification (required)**

- Explain what system will be used (Standard or Compliant)
- Identify how many and what percent of estimated premises have been registered to date overall and by species
- Plan (logistics) for the registration of premises
- Plan for successful integration of premises data from existing databases (if applicable)
- Explain how this work will support eventual registration of all premises in the area.

### **Stakeholder Participation**

Please provide a description of the NAIS coordinating group/committee/organization in the State/Tribe listing the names of members and explaining their role/involvement:

1. Producer, processor/marketing organizations
2. Government

3. Academia and/or Extension
4. Service providers (companies that provide services to producers)
5. Other

Please also provide

1. A schedule of when and where the NAIS coordinating group/committee/organization will meet.
2. A plan for preparing agendas, recording minutes, taking attendance, and other administrative duties necessary for the proper functioning of the group/committee/organization.

#### Industry Focus

1. List the livestock types that will be a significant part of the project. Include an estimated count of the number of eligible premises for each species and an estimated count of the number of eligible animals in each species.

Beef:                      Dairy:                      Pigs:                      Sheep:  
Other (list):

2. List the sectors of the production/marketing/processing industry involved and explain their involvement. Include an approximate number of eligible premises from each and an approximate number participating from each:

Producers:                      Markets:                      Order Buyers:  
Feed Lots:                      Transportation:                      Packing Plants:  
Other (list):

#### Communication Plan

1. Explain how this plan supports the outreach efforts of USDA, APHIS.
2. Explain how the industry will gain a better understanding of NAIS.
3. Explain how the communication efforts will be coordinated in the state/region.
4. Explain how this plan will work with key producer contacts to disseminate information (i.e., livestock markets, feed stores, and veterinarians).
5. Explain how you will obtain feedback from stakeholders and share this feedback with USDA, APHIS.

**Describe how each activity is to be accomplished.**

**Describe any unusual features of the plan.**

#### Resources Required

Number and Type of Personnel:

1. Are they currently employed or will employees have to be hired? Identify mechanism to be used to hire any new employees for this plan.
2. Will employees work on this plan full-time or part-time? If part time, list the number of employees working on this plan, the percentage of time

each will spend on this plan and the number of full time equivalents for this plan.

3. Identify any other issues surrounding staff resources that may need to be covered.

Note: Personnel involved in data entry, education, etc. are eligible for funding. Personnel involved in software development, computer programming, etc. are not eligible for funding.

Equipment Needed:

1. What equipment will be provided by the cooperator?
2. What equipment will be provided by APHIS?
3. What equipment will be provided through APHIS funds?
4. Identify any major purchase needs and the use of the equipment to be purchased.
5. Identify the method of procurement to be used.
6. Identify the method of disposition of equipment to be used.

Note: Equipment eligible for funding would include PCs for data entry, etc. Equipment such as servers, data storage, etc. are not eligible for funding.

Travel Needs:

1. Method of payments
2. Rate of payments for travel and per diem
3. Approving official
4. Type of travel to be authorized
5. Number of trips to be authorized

Supplies:

1. Special supplies
2. Method of procurement

Other Resource Needs

Detail resource needs that do not fit the categories above.

**Accomplishments to be achieved**

Identify each activity or function which is to be a part of this plan. Be sure to include the required activities listed here.

Premises registration (required)

Prepare an accomplishment plan involving the variety of species to be involved within each reporting period and the number of premises registered for each species type reported to the National Premises Information Repository as a raw number and as a percentage of the total estimated, qualified premises holding that species in the State or Tribal area.

Prepare an accomplishment plan involving the variety of sectors of the industry to be registered and the number of premises registered in each

sector of the industry as a raw number and as a percentage of the total estimated, qualified premises to be registered in that sector.

#### Animal Identification

If individual animal identification is planned, prepare an accomplishment plan for the activity.

Include in the plan how data will be gathered to provide in the quarterly reports the number and percentage of animals identified for each species. Also include a similar plan for estimating the number and percentage of Group/Lot identification used within the State or Tribe.

#### Animal Tracking

Although the animal tracking databases are operated and maintained by the private sector and/or States/Tribes, it is important to document how the effectiveness of system(s) is/are functioning in each State or Tribe. If tracking of animal movements is planned, prepare an accomplishment plan for that activity.

Measure the number of animal movement transactions in each species reported to private or State/Tribal Animal Tracking/Tracing databases as a raw number and a percentage of total eligible transactions.

#### Outreach/Education (required)

Prepare an accomplishment plan involving the number of stakeholders to be educated regarding NAIS.

Identify the number of groups/organizations and businesses to be contacted in the various stakeholder sectors as a raw number and as a percentage of the total number of groups/organizations available in the area. Specific target areas should be identified (livestock markets, feed stores and veterinarians, etc.). Number and percentage of the targeted areas that actually displayed and supported the distribution of NAIS information (requires follow-up to ensure the information is being disseminated).

Identify the number of contacts made with individual stakeholders as a raw number; for example, the number of stakeholders that participated in informational meetings.

Prepare an accomplishment plan for retrieving feedback from stakeholders.

#### Legislation Implemented

Indicate what current legislative aspects associated with NAIS, or impacting NAIS, currently exists in the State or Tribal entity regarding premises registration, animal identification, and animal movement activities.

Prepare an accomplishment plan around determining the need for and addressing any legislative changes needed to participate in the NAIS.

Other

Develop other plans for accomplishments that fit the specifics of your work plan.

Using the format provided in Appendix A as a guide, please summarize each accomplishment expected within each activity or function.

For quantitative accomplishments, list what portion of that accomplishment you expect to be able to achieve in each quarter.

For accomplishments that can not be quantified, list in chronological order with a target date for completion of each.

Identify what criteria will be used to evaluate the results/success of the plan.

**Budget**

Provide budget details that reflect the expenditures for the plan presented (or that will be presented) on Standard Form 424A.

The budget should include the same categories as those listed in *Resources Required* (above). The budget should also delineate all funds to be provided by APHIS, as well as, all funds to be provided by the cooperator. Additionally, the budget should include direct costs and indirect costs (if necessary).

Note: The plan funds are to be obligated by APHIS during FY 2006 and will be available for cooperator expenditure through December 31, 2006.

**The following forms must be submitted with the “NAIS Implementation” Work Plan (above):**

- **Application for Federal Assistance:** Standard Form 424
- **Budget Information:** Standard Form 424A
- **Assurances – Non-construction Programs:** Standard Form 424B
- **Disclosure of Lobbying Activities:** SF-LLL(required for Federal Assistance greater than \$100,000)
- **Certification Regarding Lobbying**
- **Indirect Cost Rate Agreement (if claiming indirect costs)**

Note: The Certification Regarding Lobbying and Indirect Cost Rate Agreement cannot be submitted through grants.gov. If you are applying through grants.gov, these items will be requested at the time of award.

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## **VI. Submission Dates and Times:**

- **Deadline:** 4:30 EST, July 25, 2006  
Applications received after the deadline will not be reviewed or considered.
- **Evaluation and Selection**  
The evaluation of applications will be conducted approximately 30 days after the application deadline. Following the selection process, all applicants will be notified of the amount of the available funds that have been reserved to support their plan and any changes to the work plan that are needed to justify the reserved amount.
- **Allocation of Funds**  
Funds will be awarded for the period beginning on the date indicated in the Notice of Award and continuing until December 31, 2006.

## **VII. Intergovernmental Review**

CFDA 10.025 is subject to Executive Order 12372, "Intergovernmental Review of Federal Programs" in the States of: Arkansas, Delaware, District of Columbia, Georgia, Illinois, Iowa, Kentucky, Maine, Maryland, Michigan, Mississippi, Missouri, Nevada, New Hampshire, North Dakota, South Carolina, Texas, Utah, and West Virginia. Awards will not be made until this process has been completed in the applicable States. Names and addresses of States' Single Points of Contact (SPOC) are listed in the Office of Management and Budget's home page at: <http://www.whitehouse.gov/omb/grants/spoc.html>. For those applicants that have this process in their State, submit your application to the SPOC simultaneously to submitting to APHIS. Failure to meet with this requirement will result in a rejection of your application. A copy of the SPOC receipt and approval letter will be required at the time the award is made.

## **VIII. Funding Restrictions**

Plan funds must be obligated by the recipient by December 31, 2006. Extensions of time to complete projects beyond this date may be granted on a case-by-case basis. Construction, including renovations of real property, is not authorized.

Plan funds may not be used for certain types of personnel, including computer software developers and programmers. The types of personnel who may be funded under these plans would include data entry clerks and program delivery personnel.

Plan funds may not be used for certain types of equipment, including servers and data storage devices. Types of equipment which may be funded under these plans would include PCs for data entry.

Plan funds granted under this announcement may not be used for field trials or research. These funds may only be used for the implementation and maintenance of the NAIS.

The applicant must submit a copy of its fully executed current Negotiated Indirect Cost Rate Agreement, negotiated by its cognizant Federal agency, when indirect costs are assessed in the budget. Each Native American Tribal government desiring reimbursement of indirect costs must submit its indirect cost proposal to the Department of the Interior (its cognizant Federal agency).

## **IX. Other Submission Requirements**

Applicants can apply to this funding opportunity through <http://grants.gov>. First time users should go to the “Get Started” tab on the Web site and carefully read and follow the steps listed in order to apply. Your organization will need to be registered with the Central Contractor Registry (CCR). In order to register with the CCR, a requirement for registering with grants.gov, your organization will need a Data Universal Number System ( DUNS ) Number . A DUNS number is a unique nine-character identification number provided by the commercial company, [Dun & Bradstreet \(D&B\)](#). To investigate if your organization already has a DUNS number or [to obtain a DUNS number](#), contact Dun & Bradstreet at 1-866-705-5711. Be sure to complete the Marketing Partner ID (MPIN) and Electronic Business Primary Point of Contact fields during the CCR registration process. These are mandatory fields that are required when submitting grant applications through Grants.gov.

**Please note: The DUNS and CCR requirements described above are applicable to all applicants whether you choose to apply through grants.gov or submit a paper application package.**

Applications must be received through grants.gov or at the address below by close of business on the closing date indicated in IV. C., “Submission Dates and Times”.

Applications may be submitted electronically in MS Word , PDF format (email or delivered electronic media), or one original paper application package to the Area Veterinarian in Charge covering the Area in which the applicant is located. The name and contact information for these people may be found at:

[http://www.aphis.usda.gov/vs/area\\_offices.htm](http://www.aphis.usda.gov/vs/area_offices.htm)

If you experience difficulty in getting your application to the Area Veterinarian In Charge in your area, you may contact:

USDA APHIS VS  
c/o Deborah L. Sweitzer, NAIS Program  
National Center for Animal Health Programs  
4100 River Road – 3A70  
Riverdale, MD 20737  
Deborah.L.Sweitzer@aphis.usda.gov  
(301) 734-5791

## **X. Application Review Information**

### **A. Criteria**

#### **1. States**

Of the total available funds for plans involving the continued implementation of the NAIS, \$10,137,000 will be set aside for use by States. Each State will be eligible for funding in an amount up to the reserved amount shown in Appendix B. However, the work plan must clearly justify the funding requested. If the work plan does not justify the entire reserved amount, the State will be awarded the amount justified in the work plan.

#### **2. Tribes**

Of the total available funds for plans involving the continued implementation of the NAIS, \$745,000 will be set aside for use by Tribes. Each Tribe will be eligible for funding in an amount up to the reserved amount shown in Appendix C. However, the work plan must clearly justify the funding requested. If the work plan does not justify the entire reserved amount, the Tribe will be awarded the amount justified in the work plan.

#### **3. Other Criteria**

Cost sharing is required.

#### **4. Multi-State/Tribe applications**

States and Tribes may wish to submit an application for the amount equal to the combined amounts reserved for the individual participating States and Tribes. For multi-State/Tribe applications, a single State or Tribe will be deemed the cooperator with USDA and will be responsible for distributing the funds to the participating States/Tribes, for coordinating the performance of each participating State/Tribe, and for submitting required reports and fulfilling the terms of the agreement

### **B. Review and Selection Process**

USDA, APHIS, VS is responsible for the allocation of funds to support the implementation plans that will be funded through cooperative agreements. The work plan will be reviewed by the Area Veterinarian in Charge for consistency with national standards. Once the Area Veterinarian in Charge is satisfied that the work plan and accompanying supporting information is accurate, the package will be forwarded to the appropriate USDA, APHIS, VS Regional Office. Staff within the Regional Office will review the package and submit it for final approval. If the Area Veterinarian in Charge or Regional Office Staff encounter areas of the work plan which need adjustments, they will contact the applicant to discuss these issues. VS has final authority in determining the amount of available funds that will be allocated to each implementation plan.

## **XI. Award Administration Information**

### ***A. Award Notice***

Applications selected for funding will be notified by email or phone after the selection process is complete (late August to early September, 2006). Approximately 10 days after the email notification, APHIS will prepare and submit a Notice of Award to the cooperator for signature. Once the Notice of Award is signed and returned to APHIS for final signature, the agreement will become effective. A letter will be mailed to any unsuccessful applicants on approximately the same date the selected applicants are first notified.

### ***B. Administrative and National Policy Requirements***

Access privileges to national databases provided to cooperators by APHIS will be given only to specific individuals specified in the agreements and must not be shared with any other individual, organization, company, or other entity. Animal health data specified under the agreement that are collected under the terms of the agreement are to be provided to APHIS in accordance with the data transfer protocols required by the national databases.

Successful applicants must comply with the requirements contained in the United States Department of Agriculture "Uniform Federal Assistance Regulations", 7 CFR 3015; "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments", 7 CFR 3016; in addition to "Government wide Debarment and Suspension (Non-Procurement)", 7 CFR 3017; "Government wide Requirements for Drug-Free Workplace", 7 CFR 3021; "New Restrictions on Lobbying," 7 CFR 3018; and Office of Management and Budget regulations governing "Controlling Paperwork Burdens on the Public", 5 CFR 1320.

## **XII. Reporting**

The Plan Administrator will provide to the APHIS authorized representative **quarterly** accomplishment reports on program activities outlined in the work plan. It is suggested that these reports be submitted in the format illustrated in Appendix A. The reports will be used by APHIS to verify compliance with provisions of this **Agreement**. These reports are due **no later than 30 days** after the end of each Federal fiscal quarter except the final report which is due **no later than 90 days** after the **Agreement** expires or terminates.

The Plan Administrator will provide to the APHIS authorized representative a properly certified **quarterly** Financial Status Report, SF-269, **no later than 30 days** after the end of each Federal fiscal quarter and a final SF-269 **no later than 90 days** after the **Agreement** expires or terminates. Any requests for an extension of time to submit the SF-269 must be made in writing to APHIS' authorized representative before expiration of the initial 30 or 90 day period allowed for submitting the report. Extensions of time to submit the SF-269 are subject to the discretion of APHIS' authorized representative and, if allowed, shall be provided by the authorized representative in writing.

### **XIII. Agency Contacts**

For questions of programmatic content, please contact:

David L. Morris  
APHIS, Veterinary Services  
Ph. 970-494-7375  
Fax 970-494-7369  
David.L.Morris@aphis.usda.gov

For administrative questions, please contact:

Eileen Berke  
APHIS, Agreements Services Center  
Ph. 301-734-8330  
Fax 301-734-8064  
Eileen.M.Berke@aphis.usda.gov

### **XIV. Other Information**

A separate announcement may be made to solicit applications for research projects and field trials associated with NAIS for FY2006.

It is anticipated that the FY 2007 Federal budget will contain funds for additional Cooperative Agreements that will follow requirements similar to this Request for Applications. The USDA is not obligated to make any award as a result of this announcement. Only the APHIS Authorized Departmental Officer (ADO) can bind the Government to expenditure of funds.

**XV. Appendix A: Accomplishment Report Summary Format**

**COOPERATIVE AGREEMENT ACCOMPLISHMENT PLAN AND REPORT**

**06-9XX-0XXXCA**

**Program: NAIS**

<b>Quarter Completed:</b>		<b>Report Date:</b>	<b>Contact Person:</b>	
<b>Activity</b>	<b>Planned Accomplishment – List Specific Performance Measures</b>	<b>Performance Measures - Achievements -</b>	<b>If Objectives Have Not Been Met, Explain Below:</b>	<b>Work Site Visited Dates:</b>
<b>Activity 1</b>	<b>Enter planned accomplishment:</b>  Explain planned accomplishment:	<b>MEASURABLE OUTCOMES</b>		
<b>Activity 2</b>	<b>Enter planned accomplishment:</b>  Explain planned accomplishment:	<b>MEASURABLE OUTCOMES</b>		
<b>Activity 3</b>	<b>Enter planned accomplishment:</b>  Explain planned accomplishment:	<b>MEASURABLE OUTCOMES</b>		
<b>Activity 4</b>	<b>Enter planned accomplishment:</b>  Explain planned accomplishment:	<b>MEASURABLE OUTCOMES</b>		

**XVI. Appendix B: Reserved Allocations to States (FY2006 NAIS Implementation)****Distribution by States**

Alabama	\$203,000	Montana	\$203,000
Alaska	\$80,000	Nebraska	\$448,000
Arizona	\$141,000	Nevada	\$80,000
Arkansas	\$203,000	New Jersey	\$80,000
California	\$387,000	New Hampshire	\$80,000
Colorado	\$264,000	New Mexico	\$203,000
Connecticut	\$80,000	New York	\$203,000
Delaware	\$80,000	North Carolina	\$141,000
Florida	\$203,000	North Dakota	\$203,000
Georgia	\$141,000	Ohio	\$203,000
Hawaii	\$80,000	Oklahoma	\$387,000
Idaho	\$203,000	Oregon	\$203,000
Illinois	\$141,000	Pennsylvania	\$203,000
Indiana	\$141,000	Rhode Island	\$80,000
Iowa	\$326,000	South Carolina	\$141,000
Kansas	\$448,000	South Dakota	\$326,000
Kentucky	\$264,000	Tennessee	\$264,000
Louisiana	\$141,000	Texas	\$940,000
Maine	\$80,000	Utah	\$141,000
Maryland	\$80,000	Vermont	\$80,000
Massachusetts	\$80,000	Virginia	\$203,000
Michigan	\$141,000	Washington	\$141,000
Minnesota	\$203,000	West Virginia	\$141,000
Mississippi	\$141,000	Wisconsin	\$264,000
Missouri	\$387,000	Wyoming	\$141,000

**XVII. Appendix C: Reserved Allocations to Tribes (FY2006 NAIS Implementation)**

AK	Kawerak Herders Association	\$15,000	ND	Turtle Mountain Tribe	\$10,000
AL	Poarch Creek Indian Tribe	\$15,000	NV	Yomba Shoshone Tribe	\$10,000
AZ	Colorado River Indian Tribe	\$15,000	NV	South Fork Band	\$15,000
AZ	Hualapai Nation	\$10,000	NV	Washoe Tribe	\$15,000
AZ	Hopi Nation	\$10,000	NV/UT	Goshute Tribe	\$15,000
AZ	Navajo Nation	\$10,000	NV	Pyramid Lake Tribe	\$10,000
AZ	San Carlos Apache	\$10,000	NV	Walker River Tribe	\$10,000
AZ	White Mountain Apache	\$10,000	MN	Red Lake Tribe	\$15,000
AZ	Tohono O'Odham Nation	\$10,000	MN	White Earth Tribe	\$15,000
CA	Round Valley Tribe	\$15,000	MT	Blackfeet Tribe	\$10,000
CA	Hoopa Valley Tribe	\$15,000	MT	Chippewa Cree	\$10,000
CA	Tule River Tribe	\$15,000	MT	Crow Tribe	\$10,000
CO	Southern Ute Tribe	\$15,000	MT	Ft Belknap Tribe	\$10,000
CO	Ute Mountain Tribe	\$10,000		Ft Peck - Assiniboine &	
FL	Seminole Tribe	\$10,000	MT	Sioux	\$10,000
ID	Coeur D'Alene Nation	\$15,000	MT	Northern Cheyenne	\$10,000
ID/NV	Duck Valley - Shoshone Tribe	\$10,000	OK	Cherokee Nation	\$15,000
ID	Flat Head - Kootenai & Salish	\$15,000	OK	Osage Nation	\$10,000
ID	Ft Hall - Shoshone - Bannock	\$10,000	NY	St Regis Mohawk Tribe	\$10,000
ID	Nez Perce Tribe	\$15,000	OR	Warm Springs Nation	\$15,000
NM	Acoma Pueblo	\$10,000	OR	Umatilla Nation	\$15,000
NM	Jemez Pueblo	\$10,000	SD	Cheyenne River Tribe	\$15,000
NM	Laguna Pueblo	\$10,000	SD	Leech Lake Tribe	\$15,000
NM	Isleta Pueblo	\$10,000	SD	Pine Ridge - Ogala Sioux	\$15,000
NM	Pojoaque Pueblo	\$10,000	SD	Rosebud Sioux Tribe	\$10,000
NM	Mescalero Apache	\$15,000	SD	Standing Rock Tribe	\$15,000
NM	Jicarilla Apache	\$10,000	UT	Unitah & Ouary Tribe	\$10,000
NM	Zuni Pueblo	\$15,000	WA	Colville Nation	\$15,000
ND	Spirit Lake Tribe	\$10,000	WA	Spokane Nation	\$15,000
	Three Affiliated Tribes ( Ft		WA	Yakama Nation	\$15,000
ND	Berthold)	\$10,000	WY	Arapaho Tribe	\$10,000
			WY	Eastern Shoshone Tribe	\$10,000